



RISK MANAGEMENT UNIT

RISK MANAGEMENT AT A GLANCE

Established in 2011 for Somalia, the Risk Management Unit (RMU) is a recognized leader in the provision of risk management service support to ensure that the UN, its partners and donors can deliver the most impact.

The RMU supports robust risk management approaches, due diligence and information sharing aiming to improve programme planning and implementation, informed decision making, fiduciary accountability, do-no-harm and open dialogue regarding risk management challenges. RMU support complements the existing systems each UN organization and its partners have in place.

500+ Vendor/Partner Risk & Capacity Assessments registered in CIMS

\$6.2bln Contracts recorded in CIMS

646 Trainees

300+ NGO & Government officials received risk management training 2016-2019

INTEGRATED APPROACH

Risk Analysis and Advice

Utilizing the ISO 31000 Risk Management Standard, the RMU takes a systematic approach to funds/programme/partner risk analysis to generate risk assessments and reports for the UN Somalia System.

The Unit provides risk management advice on allegations and conducts further verifications through law enforcement agencies and regulatory bodies.

Database and Information Sharing

Contractor Information Management System (CIMS) core tool, an online database platform with information related to vendors and implementing partners with whom the UN engages. It captures capacity and risk assessments, agency performance ratings, UN security sanctions and other additional restricted information can be relied upon to manage risks, support due diligence and identify issues of concern.

Learning and Capacity Development

The RMU delivers workshops/courses on managing project risk to the UN, Government Officials, civil society and private sector in two modalities: class-based and online Risk Management learning system. These modalities are kept up to date and customized to respond to the profile of the participants and remain consistent with international best practice and standards.

Support to Use of Country Systems

Support to the operationalization and implementation of the National Funding Stream of the UN Multi Partner Trust Fund (MPTF) is provided through the country systems. RMU supports through oversight, quality control, guidance and capacity development on risk management, public procurement and financial management, do-no-harm, audit and reporting. From 2016 US\$ 2 million in funding to the National Window has increased to US\$ 11 million.

Risk Management Support to MPTF & Somalia Development and Reconstruction Facility (SDRF) Funds

SDRF Funds: UN MPTF/WB MPF and AfDB Infrastructure Fund - Joint (UN/WB/AfDB) Risk Management Strategy developed and implemented by the three fund administrators, donors and government work together to implement the strategy at the fund level.

Risk Management support is provided to MPTF funded joint programmes and on enhancement of partner risk management and engagement plans.

Support to Legal Framework on NGOs

Coordination of support to the FGS in development of an enabling NGO Law for Somalia. A draft Bill has been developed through consultative processes with stakeholders drawn from the FGS, FMSs, NGOs, Donors and the UN. Work is ongoing to develop supporting documentation for implementation of the law, when it is passed. Liaison activities are also ongoing with FMSs.

Development and Dissemination of Best Practices

1. The RMU tools have been replicated in Afghanistan (2014), Syria (2017) and South Sudan (2018).

Risk Management support to Libya (2017), RM Strategy to UNISS (UN Integrated Strategy for Sahel (2018) and replication of RM to UN Regional Office to support UN Integrated Strategy for Sahel (UNISS) (ongoing); Lebanon (ongoing), UNHCR Kenya HQs (ongoing).

2. Development and hosting of the Capacity Injection Reporting Tool (CIRT) for use by the aid community to report capacity injection activities. This will help to identify gaps, optimize supports and also encourage alignment with the harmonized salary scale.

3. Collective Risk Management across aid community: review of collective tools completed in 2017, Outline of a Collective RM Strategy (UN, donors, government, NGOs and private sector) drafted and endorsed in 2018. Target: Strategy to be developed and endorsed in 2019.

Monitoring

The RMU conducts verification and monitoring activities on behalf of UN organisations and other partners as needed. Monitoring will include information sharing for collaborative joint monitoring (e.g. mapping of monitoring activities).

Monthly media monitoring reports on fraud and corruption are generated and shared with the UN Anti-corruption forum, risk working group and other relevant fora.



RMU TOOLS AND FORA

TOOLS	FORA
<ul style="list-style-type: none"> Contractor Information Management System Managing Project Risk package - class based introduction course to risk management using ISO 31000 Enterprise Risk Management System. RMU Online Risk Management Training Course - A self-paced online course open to all UN, government officials, donors and NGOs, free of charge NGO Risk Management Manual RMU Guideline to seeking risk advice NGO Guide to reporting fraud MPTF National Funding Stream Guidance Manual to lead the Somalia Government Institution on implementing MPTF funded projects through Somalia country systems Joint Risk Management Strategy for SDRF Funds 	<ul style="list-style-type: none"> UN Risk Management Working Group (members: UN risk focal points) Fund Administrators Risk Management Group (members: UN, World Bank, African Development Bank, government officials, donors) Multi-Party Risk Working Group (Members: Government, NGOs, UN, donors)

LOOKING FORWARD

The RMU, in close partnership with the UN, government officials, NGOs and donors, is developing a collective risk management strategy and supporting common risk management approach across aid community. The RMU will conduct, oversee and lead capacity development activities on managing project risk.

The RMU will strengthen its partnership with investigative and regulatory bodies in its efforts to strengthen accountability, due diligence and risk informed decision making for greater impact in delivering programmes and projects in Somalia.

The RMU will continue to work together with DOCO and UN offices, in replicating RMU services tailored to the country context. The RMU will promote mutual information sharing across UN and its partners.

CONTACT US

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